Assessing the Magnitude of Immunogenic Cell Death Following Chemotherapy and Irradiation Reveals a New Strategy to Treat Pancreatic Cancer

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ABSTRACT

Pancreatic ductal adenocarcinoma (PDAC) continues to have a dismal prognosis, in part, due to ineffective treatment strategies. The efficacy of some chemotherapies and especially radiotherapy is mediated partially by the immune system. Therefore, we hypothesized that profiling the immune response following chemotherapy and/or irradiation can be used as a readout for treatment efficacy but also to help identify optimal therapeutic schedules for PDAC. Using murine models of PDAC, we demonstrated that concurrent administration of stereotactic body radiotherapy (SBRT) and a modified dose of FOLFIRINOX (mFX) resulted in superior tumor control when compared with single or sequential treatment groups. Importantly, this combined treatment schedule enhanced the magnitude of immunogenic cell death, which in turn amplified tumor antigen presentation by dendritic cells and intratumoral CD8+ T-cell infiltration. Concurrent therapy also resulted in systemic immunity contributing to the control of established metastases. These findings provide a rationale for pursuing concurrent treatment schedules of SBRT with mFX in PDAC.

Introduction

Pancreatic ductal adenocarcinoma (PDAC) cancer is the fourth leading cause of cancer-related deaths in the United States with a 5-year survival rate of only 8% (1). Eighty to 85% patients are diagnosed with advanced stage disease and are thus ineligible for curative intent surgery (2). New therapeutic approaches are urgently needed to improve outcome of patients with locally advanced/metastatic PDAC.

There is controversy regarding the most effective therapeutic approach for patients with locally advanced PDAC. Randomized clinical trials have explored whether neoadjuvant chemoradiotherapy is superior to chemotherapy alone; however, results have been conflicting (3). Most of these trials utilized conventional radiotherapy schedules (3). Stereotactic body radiotherapy (SBRT) has emerged as a strategy that incorporates precision tumor targeting to deliver higher doses of radiation in fewer fractions for patients with PDAC (4). This schedule offers better local tumor control and limited toxicity when compared with conventional radiotherapy (3, 4). Only a few clinical trials incorporate the combination of SBRT and chemotherapy in locally advanced pancreatic cancer (LAPC), some of which show promising local control rates (5). However, survival rates remain poor with most patients dying of metastatic disease (3). Because of the relatively new emergence of SBRT to treat PDAC, there is limited clinical and preclinical information examining the optimal scheduling of SBRT in combination with chemotherapy. Developing an optimal schedule of neoadjuvant chemotherapy in combination with SBRT is crucial to achieve improved outcome for patients with advanced PDAC.

Toxicity is a concern when considering the combination of chemotherapy with radiotherapy. In PDAC, the predominant chemotherapy regimens utilize FOLFIRINOX and gemcitabine/paclitaxel, with FOLFIRINOX increasing survival (11.1 vs. 6.8 months) for patients with nonoperable disease. However, FOLFIRINOX is associated with increased toxicity such as leukopenia and/or diarrhea (6). To overcome these side effects, modified FOLFIRINOX (mFX, defined as a reduction of dose), has demonstrated similar survival benefits with fewer adverse effects such as neutropenia and lymphopenia, when compared with the conventional dosage (7). In addition to modifying chemotherapy dose, toxicity can also be managed by modulating the schedule of chemoradiotherapy. For example, traditional schedules in an adjuvant setting often consist of an initial treatment of chemotherapy followed by sequential radiotherapy (8). However, current clinical evidence suggests that concurrent chemoradiotherapy is superior to sequential use of combination treatment (9, 10). In patients with PDAC, concurrent chemoradiotherapy is possible due to the shorter treatment schedule of SBRT, which allows for better integration of chemotherapy (4). Given the potential overlapping toxicities associated with chemotherapy and radiotherapy, it is imperative to identify the optimal combination and schedule that provides both efficacy and reduced toxicity.

Studies have demonstrated that the efficacy of some chemotherapies and radiotherapy are mediated partially by the immune system via immunogenic cell death (ICD; ref. 11), resulting in activation of innate and adaptive antitumor immune responses (12, 13). Therefore, it may be possible to monitor treatment efficacy by measuring the magnitude
of corresponding immune responses. ICD is characterized by the release or cell surface expression of highly immunostimulatory damage-associated molecular patterns (DAMP) by the dying tumor cells, such as calreticulin and/or high mobility group box 1 (HMGB1; ref. 12). These DAMPs enhance the stimulation and antigen presentation of dendritic cells (DC), which, in turn, promote activation and expansion of antitumor T cells (14). Chemoradiation may serve as an endogenous vaccine, thus we propose that treatment efficacy can be assessed by monitoring the quantity of DAMPs and antitumor activity from various immune cells.

Here, we demonstrated in murine models of PDAC that concurrent administration of SBRT and mFX enhanced antitumor effects and ICD as measured by heightened DAMPs, elevated tumor antigen presentation of DCs, and increased tumor-reactive T cells. This schedule of chemoradiotherapy was well-tolerated. Concurrent SBRT + mFX also promoted systemic antitumor immunity that led to significant protection from the formation of liver metastases. These findings provide rationale for pursuing concurrent treatment schedules of SBRT with mFX in patients with PDAC and elucidated a potential mechanism for the observed benefit of combining SBRT and mFX, even in patients with metastatic disease.

Materials and Methods

Cell lines and reagents

The murine PDAC cell line parental KCKO (15, 16) or luciferase-expressing KCKO (KCKO-luc) were a gift from Dr. Pinku Mukherjee (University of North Carolina, Charlotte, NC, 2010). Panc 02 (17), luciferase-expressing Panc 02 (Panc 02-luc), and OVA-expressing KCKO (KCKO-OVA) cell lines were a gift from Dr. David DeNardo (Washington University School of Medicine, St. Louis, MO, 2016). All cell lines were cultured in RPMI1640 supplemented with 10% FBS, penicillin/streptomycin, and tested to exclude Mycoplasma contamination. All these cell lines were used for experiments within three passages of subsequent culture, but were not authenticated in the past year.

5-Fluorouracil (5-FU, Teva), irinotecan (Areva), and oxaliplatin (Athenex) were obtained from the pharmacy at University of Rochester Medical Center (URMC, Rochester, NY). For mouse chemotherapy treatments, the MTD (18) of FOLFIRINOX [5-FU (25 mg/kg), irinotecan (50 mg/kg), and oxaliplatin (5 mg/kg)] or mFX (75% MTD) was administered with retro-orbital injection of irinotecan and oxaliplatin (diluted in PBS, 100 μL per mouse) and intraperitoneal injection of 5-FU (diluted in PBS, 100 μL per mouse) weekly for three cycles. Cultured tumor cells were treated with 5-FU (18.75 μg/mL), irinotecan (37.5 μg/mL), and oxaliplatin (3.75 μg/mL) for the indicated times. For depletion experiments, 200 μg of anti-CD8 (clone: 53-6.7) antibody or isotype rat IgG diluted in PBS, 100 μL per mouse) was administered intraperitoneally every 3 days.

Murine orthotopic model and hepatic metastases model of pancreatic cancer

Six to 8-week-old female C57BL/6J mice were purchased from Jackson Laboratory and maintained in a pathogen-free facility under an approved animal studies protocol at URMC (Rochester, NY). Mice were anesthetized with vaporized isoflurane and injected orthotopically in the tail of the pancreas with 2 × 10^5 KCKO-luc cells or 1 × 10^5 Panc 02-luc cells in 1:1 PBS to Matrigel (Corning) as described previously (19). For the hepatic metastases model, mice that had tumor clearance after mFX + SBRT were rechallenged with hemisplenic injection of 4 × 10^4 KCKO-luc cells to develop hepatic metastases as described previously with some modifications (20). Briefly, the spleen was divided by placing two medium size ligating clips in the center of the spleen, the upper pole of spleen was pushed back into peritoneum and 100 μL of KCKO-luc cells (4 × 10^5) were slowly injected into the exposed hemispleen. The injected hemispleen was resected after applying one medium size ligating clip on the most distal aspect of the pancreas and splenic vessels. For the murine model with both orthotopic pancreatic tumor (KCKO cells) and hepatic metastases (KCKO-luc cells), hepatic metastases were established similarly with sutures instead of metal ligating clips used above to avoid confusion with pancreatic tumor markers (two small size of metal ligation clips on two sides of pancreatic tumor) used to identify tumors for SBRT.

Pancreatic tumor or liver metastases were monitored over time by an in vivo imaging system (IVIS). Briefly, the mice were administered D-luciferin injection. Regions of interest (ROI) of the same size and shape were applied to all acquired images to measure average radiance (photons/sec/cm²/sr).

SBRT treatment

As described previously (21), radiation was delivered using Small Animal Radiation Research Platform (SARRP, Xstrahl) equipped with a CT scanning device and controlled by Muriplan software. Briefly, a CT image of anesthetized mice was obtained to identify the pancreatic tumor based on two small metal fiducial clips placed on both sides of the tumor at the time of injection. A radiation treatment plan positioned a dosing isocenter and delivered a dose of 6 Gy (X-ray) for 4 consecutive days using a 5-mm collimator and beam angle that precisely targets the tumor while minimizing normal tissue radiation exposure. A dose volume histogram (DVH) was obtained for each experiment to certify dose deposition to the tumor.

Blood biochemistry and body weight

Blood samples from treated mice were collected from the submandibular facial vein on days −2 (as baseline), 4, 11, and 18. Blood biochemistry was analyzed by i-STAT handheld with i-STAT CHEM8+ cartridges (Abbott Point of Care Inc.) according to the manufacturer’s instructions. Body weight was measured every 3 to 4 days.

Flow cytometry

Mouse blood was retro-orbitally collected in heparinized capillary tubes followed by RBC lysis (BioLegend). Mouse tumors were collected after sacrifice and dissociated using 30% collagenase digestion (30 minutes, 37°C). Single-cell suspensions in 5% FBS in PBS by passing through 40-μm cell strainer were assessed for viability with trypan blue and manually counted, then incubated with Fc receptor blocking solution followed by fluorophore-conjugated antibodies.

For cell surface staining, fluorescence-labeled mouse antibodies (including anti-CD45, anti-CD3, anti-CD4, anti-CD8, anti-CD11b, anti-Ly6C, anti-Ly6G, anti-F4/80, anti-CD11c, anti-CD80, anti-CD86, anti-MHCII purchased from BD Biosciences, BioLegend, or eBioscience) were added to samples for 30 minutes at 4°C in the dark. For further intracellular staining, cells were washed with PBS supplemented with 5% FBS, permeablized with Permeabizlar Buffer (BD Biosciences), and stained with fluorescence-labeled mouse antibodies (including anti-IFNγ, anti-GzmB, and anti-TNFFlr purchased from BD Biosciences or BioLegend) for 30 minutes at 4°C in the dark. Cells were washed and resuspended in 5% FBS in PBS. Flow cytometry was performed using a FACSCanto flow cytometer (BD Biosciences) and analyzed using CellQuest Pro software.
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IHC

The cleaved caspase-3, HMGB1, or calreticulin-positive cells were determined using IHC staining, as described previously (22). Briefly, freshly dissected murine pancreatic tumor tissue was placed into a prelabeled tissue base mold, covered with OCT, and frozen immediately with dry ice. Frozen tissue blocks were stored at -80°C until ready for sectioning. Tissue sections of 10-μm thickness were prepared using a cryostat (Leica). Tissue sections were air-dried at room temperature for 30 minutes and fixed in precooled acetone for 10 minutes at -20°C. Acetone was poured off and allowed to evaporate from tissue sections for 20 minutes at room temperature. Tissue sections were rinsed twice in PBS for 5 minutes, incubated in 0.3% H2O2 solution in PBS for 10 minutes at room temperature to block the endogenous peroxidase activity and rinsed with PBS two times. Sections were incubated with blocking buffer (DAKO serum-free protein block), followed by primary antibodies [including cleaved caspase-3 (Asp175) (5A1E), rabbit mAb (#9664S, Cell Signaling Technology), anti-HMGB1 antibody (ab18256, Abcam), or anti-calreticulin antibody (ab4109, Abcam)]. The sections were stained with horseradish peroxidase–labeled second antibodies for 20 minutes at room temperature and rinsed with PBS three times. DAB was applied as substrates. Hematoxylin was used for counterstaining. Positive cells were enumerated using a computerized Olympus DP80 image system. For cleaved caspase-3 or HMGB1 staining, 10 randomly selected fields (×400, magnification) of each tumor tissue section were enumerated, and the means reported. The calreticulin expression in tumor tissue was examined and scored with help from a licensed pathologist (blinded): 0, no staining; 1, low intensity of staining, or <25% of tumor cells were positive; 2, medium level of intensity of staining, or >25% and <50% of tumor cells are positive; 3, high level intensity of staining, or >50% and <75% of tumor cells are positive; or 4, maximum high level intensity of staining, or >75% of tumor cells are positive.

Antigen presentation assay (B3Z T hybridoma activation assay)

Mice were injected with KCKO-OVA (2 × 105 cells/mouse) in the pancreas, and 5 days later treated with SBRT (6 Gy on days 7, 8, 9, and 10), mFX (day 5), or a combination of SBRT and mFX. Mice were injected in the pancreas tail. Tumor growth was monitored over time by IVIS.

DC phagocytosis

CD11c+ DCs were generated from C57BL/6j bone marrow (BM) as described previously (24). Briefly, BM cells were harvested from the femurs of C57BL/6j mice and 1 × 107 cells were cultured in 10 mL complete RPMI containing mouse recombinant GM-CSF (50 ng/mL) and IL4 (25 ng/mL; PeproTech) for 7 days to generate CD11c+ DCs (fresh media containing GM-CSF and IL4 was added on day 4). KCKO-luc-mCherry cells were treated with mFX for 3 days, radiation (6 Gy on day 0, 1, 2, and 3 respectively), or mFX + radiation in vitro as described above. C57BL/6j mice were inoculated subcutaneously (s.c.) in the flank with 5 × 106 cells (suspended in 100 μL PBS) per mouse of treated KCKO-luc cells. Frozen (dry ice) and thawed (37°C water bath) KCKO-luc cells (4 times) were injected as controls. After 7 days, 2 × 105 live KCKO-luc cells suspended in 50 μL of 1:1 PBS to Matrigel were injected in the pancreas tail. Tumor growth was monitored over time by IVIS.

Detection of apoptosis, surface calreticulin, and ERp57, and release of HMGB1

mFX and/or radiation-induced tumor cell death was assessed using Annexin V-7AAD apoptosis kit (BD Biosciences) according to the

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manufacturer's instructions. As described previously (25), cell surface calreticulin or ERp57 was detected by staining of anti-calreticulin antibody (1:1,000, ab4109, Abcam) or anti-ERp57 antibody (1:1,000, ab10287, Abcam) for 30 minutes at 4°C in the dark. Cells were washed again with PBS containing 5% FBS, followed by AF488-labeled second antibody for 30 minutes at 4°C in the dark. Cells were washed again with PBS containing 5% FBS, and analyzed by flow cytometry. Cell culture supernatant was assayed for extracellular HMGB1 by ELISA (Thermo Fisher Scientific) according to the manufacturer's instructions.

**Generation of syngeneic CD11c.DTR-eGFP chimeras and DC depletion**

CD11c.DTR-eGFP syngeneic chimeras were generated as described previously (26). Briefly, 8- to 10-week-old wild-type female C57BL/6 mice were irradiated with 9.5 Gy and immediately transplanted intravenously with 1 × 10^7 BM cells from CD11c.DTR-eGFP B6 mice. Chimeras were used for experiments 8 weeks after BM transplant. For long-term DC depletion, chimeras were injected intraperitoneally with diphtheria toxin (Sigma catalog no. D6564, 100 ng in PBS/mouse) every other day starting 1 day before treatment (mFX + SBRT) for 5 × total (26). For confirmation of DC depletion, mice were sacrificed 1 day after the second DT injection. Spleen, lymph node, and tumors (digested with 30% collagenase) were isolated and passed through 70 μm cell strainer to get single-cell suspension in PBS with 5% FBS. Cells were stained with fluorescence-labeled mouse antibodies (including anti-CD45 and anti-CD11c from BD Biosciences). Flow cytometry was performed and data analyzed using FlowJo Version X (Tree Star) by gating on CD45, CD11c, and GFP.

**Statistical analysis**

Statistical analysis was performed with GraphPad Prism 7 software. Unless indicated, data are expressed as mean ± SE. For multiple group comparison in vitro studies, one-way ANOVA was used, followed by the Dunnett test for comparing experimental groups against a single control. For a single comparison between two groups, the paired Student t test was used. P < 0.05 or P < 0.01 was considered to be significant.

**Results**

**Concurrent administration of mFX and SBRT enhanced antipancreatic tumor efficacy**

To establish a treatment schedule of mFX, KCKO-luc cells were injected into the tail of pancreas and treated weekly with the MTD (18) or 75%, 50%, or 25% of the MTD FOLFIRINOX starting on day 5. We determined that 75% MTD was most effective (Supplementary Fig. S1); therefore, we chose 75% MTD of FX as mFX in all subsequent experiments.

Concurrent administration of conventional radiotherapy and chemotherapy was more efficacious than sequential treatment; however, prospective clinical studies examining concurrent treatment of SBRT and chemotherapy have not been performed (9, 10). To test this, mice with established PDAC of KCKO-luc cells were left untreated or treated with mFX, SBRT, or concurrent administration of mFX and SBRT (Fig. 1A). We observed that mFX or SBRT alone could partially slow tumor growth, whereas concurrent administration of both significantly enhanced tumor control (Fig. 1B and C). Fifty-seven percent of the mice in the combination treatment group demonstrated tumor clearance, whereas 100% of mice died in the other groups (Fig. 1D).

To generalize our findings across other PDAC models, mice were injected with Panc 02-luc followed by the same treatment illustrated in Fig. 1A. Similar to KCKO, concurrent treatment of mFX and SBRT significantly reduced tumor growth and improved survival of Panc 02-luc–bearing mice compared with either treatment alone (Fig. 1E and F). Taken together, concurrent use of SBRT synergistically enhanced the antitumor effect of mFX in PDAC.

**SBRT and mFX concurrent administration had better efficacy than sequential administration**

We determined whether the concurrent administration of SBRT and mFX (described in Fig. 1A) resulted in measurable toxicity in mice. No significant changes in body weight were observed (Supplementary Fig. S2). Blood chemistry evaluations indicated slightly decreased hematocrit and hemoglobin on day 11 and 18 post tumor implantation in the concurrently treated group that was comparable with mFX alone (Supplementary Tables S1 and S2). Therefore, concurrent mFX and SBRT exhibited minimal side effects that were similar to mFX alone.

We compared the efficacy of concurrent treatment of mFX and SBRT (Fig. 1A) with sequential treatment of both therapies (three cycles of mFX followed by four consecutive 6-Gy SBRT treatment cycles illustrated in Supplementary Fig. S3A) in a PDAC model. Concurrent administration of SBRT and mFX demonstrated better antitumor efficacy than sequential treatment (Supplementary Fig. S3B). Concurrent delivery of therapy resulted in over half the mice surviving past 100 days, whereas no mice displayed tumor clearance in the sequential treatment group (Supplementary Fig. S3C). Thus, our data demonstrated that concurrent use of mFX and SBRT was superior to sequential administration.

**Treatment with mFX and SBRT increased ICD**

To determine whether concurrent treatment of radiation and mFX enhanced tumor cell ICD, KCKO-luc cells were treated in vitro with a similar schedule as in Fig. 1A and apoptosis was assessed by annexin V staining. Modified FX or radiation-alone induced apoptosis, whereas the combination of both therapies given concurrently resulted in a significantly higher percentage of apoptosis (Fig. 2A; Supplementary Fig. S4). To further assess the therapeutic induction of ICD, we focused on calreticulin and ER-associated protein disulide isomerase ERp57, which provide an “eat-me” signal to DCs when translocated to the cell surface of dying cells (27, 28). The combination of mFX and radiation significantly increased calreticulin and ERp57 cell surface expression on in vitro–treated tumor cells than either therapy alone (Fig. 2B and C). In addition, we found significantly higher secretion of HMGB1 in the combination treatment when compared with either strategy alone (Fig. 2D).

To test whether the heightened ICD from SBRT + mFX treatment elevated DC function, we examined the in vitro phagocytosis and maturation status of DCs following coculture with KCKO cells treated with mFX, radiation, or both. Mouse BM–derived DCs cocultured with KCKO cells treated with concurrent treatment resulted in increased phagocytosis compared with coculture with either KCKO cells treated with mFX or radiation alone (Supplementary Fig. S5A and S5B). An increased maturation phenotype, indicated by the surface expression of MHCII, CD80, and CD86, was observed in DCs in the concurrent treatment group (Supplementary Fig. SSC–SSF). To complement these in vitro experiments, we vaccinated mice with KCKO cells treated with mFX, radiation, or the concurrent schedule of both therapies. Freeze/thawed KCKO cells were used as a negative control. Mice were challenged with live KCKO-luc cells in pancreas 7 days following...
vaccination. Mice vaccinated subcutaneously with radiation or mFX-treated KCKO cells displayed significant tumor growth inhibition (Fig. 2E), resulting in 75% or 37.5% survival, respectively (Fig. 2F and G) after live KCKO pancreatic tumor challenge. Mice vaccinated with KCKO cells treated with the combination of radiation and mFX were 100% protected against tumor development (Fig. 2F and G). Only 12.5% of control mice vaccinated with freeze/thawed KCKO cells demonstrated protection against tumor challenge and nonvaccinated mice (PBS group) all developed tumors (Fig. 2E–G). Thus, concurrent combination treatment displayed the greatest efficacy of ICD.

We next assessed ICD in tumor tissue in vivo by IHC staining for cleaved caspase-3 (as a measure of apoptotic cell death), HMGB1, and calreticulin. Both mFX and SBRT alone could induce apoptosis; however, the concurrent combination strategy induced significantly higher percentage of cell death (Fig. 3A). HMGB1 and calreticulin staining were significantly elevated in the concurrent therapy
Figure 2.
Enhanced ICD induced by combination of radiation and mFX. KCKO-luc cells were treated or not treated with mFX (24 hours), radiation (6 Gy on days 0, 1, 2, and 3), or both in combination (radiation + mFX). A, Apoptosis was determined by annexin V and 7-aminoactinomycin D (7-AAD). Early apoptotic cells were stained as annexin V positive and 7-AAD negative; late apoptotic cells stained as both annexin V and 7-AAD positive. B and C, Cell surface calreticulin (B) and ERp57 (C) were stained and determined by flow cytometry. D, HMGB1 secretion in culture medium was determined by ELISA. Data shown in A–D are mean ± SEM from three independent experiments and analyzed by one-way ANOVA with Dunnett posttest. *, P < 0.05, compared with no treatment group; #, P < 0.05, the combination was compared with mFX-treated group. E–G, KCKO-luc cells treated with mFX, radiation, combination of radiation and mFX, or freeze-thawed were inoculated subcutaneously into C57BL/6J mice. After 7 days, mice were rechallenged with live KCKO-luc cells in the pancreas tail. E, Tumor growth curve based on IVIS imaging. Data shown are mean ± SEM (n = 5–8 mice/group). *, P < 0.05, compared with PBS group; #, P < 0.05, the combination was compared with mFX-treated group. F, The Kaplan–Meier survival curve. *, P < 0.05, compared with PBS group; #, P < 0.05, the combination was compared with mFX-treated group. G, Percentage of tumor-free mice determined by IVIS and analyzed by log-rank (Mantel–Cox) test. *, P < 0.05, compared with PBS group; #, P < 0.05, the combination was compared with mFX-treated group. MFI, mean fluorescence intensity; p/sec/cm²/sr, photons/second/cm²/sr.
Collectively, these data indicate that combination of SBRT and mFX induced enhanced ICD of PDAC cells.

**Treatment with mFX and SBRT induced increased tumor antigen presentation**

DAMP release upon ICD can efficiently recruit DCs to the tumor microenvironment (TME), facilitating the engulfment of dying cells and promoting DC maturation and their ability to cross-present antigen (13). To test whether concurrent combination treatment with SBRT and mFX enhanced tumor antigen presentation, OVA-expressing KCKO cells (KCKO-OVA) were injected into mouse pancreas and treated with mFX, SBRT, or the combination (Fig. 4A). Mice were sacrificed on day 11 and antigen presentation in the lymph node and spleen was detected by an established B3Z hybridoma assay (Fig. 4B; ref. 23). mFX or SBRT alone treatment resulted in increased numbers of activated B3Z cells by tumor-draining lymph node cells; however, the concurrent combination treatment induced significantly higher percentages of activated B3Z cells (Fig. 4C). Concurrent combination treatment with mFX and SBRT also induced increased antigen presentation in nondraining lymph node and spleen (Fig. 4D and E), suggesting the generation of both local and systemic antitumor immunity. Mice with control non-OVA–expressing KCKO tumors failed to stimulate B3Z cells in lymph node or spleen samples (Fig. 4C–E). We observed increased tumor-infiltrating DCs along with a greater number of mature DCs, determined by enhanced CD80 and CD86 expression, in tumors
from mice following concurrent combination treatment (Supplementary Fig. S6A–S6C). To further assess the critical role of DCs in our model, we generated syngenic CD11c.DTR-eGFP chimeric mice as described in the Materials and Methods, and implanted these mice with KCKO-luc cells followed by mFX + SBRT treatment as described in Fig. 1. DC depletion was confirmed by flow cytometry (Supplementary Fig. S6D). Loss of DCs significantly abrogated the antitumor effect induced by concurrent treatment of mFX and SBRT (Fig. 4F and G). These results suggest that concurrent treatment with mFX and SBRT induced increased DC
tumor antigen presentation, which were crucial for treatment efficacy.

**CD8⁺ T cells were essential for the antitumor effect elicited by concurrent SBRT and mFX therapy**

Ye et al. suggested that concurrent treatment confers long-term systemic hepatic metastases after hemisplenic rechallenge with KCKO-luc cells after concurrent treatment with SBRT and mFX failed to develop and died; however, all mice that originally underwent tumor clearance in concurrent mFX and SBRT was completely abrogated (Fig. 5I). These data demonstrated that CD8⁺ T cells increased in both SBRT alone and the concurrent combination treatment group (Fig. 5F). Thus, concurrent treatment resulted in an increase in effector CD8⁺ T cells intratumorally and systemically.

To determine whether concurrent combination treatment generated an increase in antigen-specific CD8⁺ T cells, we examined intratumoral MHC dextramer CD8⁺ T cells that recognize the SIINFEKL peptide from KCKO-OVA tumors. Concurrent combination treatment induced a significantly higher percentage of tumor-infiltrating antigen-specific CD8⁺ T cells when compared with any other treatment group (Fig. 5G and H).

Depletion of CD8⁺ T cells attenuated the antitumor effect (both tumor burden and survival, Fig. 5I) observed in the concurrent combination treatment group. To complement these depletion experiments, we performed a similar experiment in Rag1 KO mice, which lack T and B cells, and determined that the antitumor efficacy observed in concurrent mFX and SBRT was completely abrogated (Fig. 5J). These data demonstrated that CD8⁺ T cells were critical for the antitumor effect of concurrent combination treatment with mFX and SBRT.

**Concurrent mFX and SBRT treatment resulted in long-term immunologic antitumor memory**

We investigated whether concurrent treatment of mFX and SBRT induced long-term antitumor immunity, which might provide protection from both recurrence and metastases. Mice that rejected primary challenge with KCKO-luc following concurrent mFX and SBRT (see Fig. 1) were maintained tumor free for 90 days and then rechallenged with KCKO-luc cells by hemisplenic injection. Naïve mice that received hemisplenic KCKO-luc developed liver metastasis and died; however, all mice that originally underwent tumor clearance after concurrent treatment with SBRT and mFX failed to develop hepatic metastases after hemisplenic rechallenge with KCKO-luc cells suggesting that concurrent treatment confers long-term systemic antitumor immunity (Fig. 6A–C).

To further assess whether CD8⁺ T cells were mediating this conferred protection, we performed an adoptive T-cell transfer experiment from cured mice to naïve mice. Purified CD8⁺ T cells were isolated from lymph nodes and spleens of mice from Fig. 6 that cleared tumors after concurrent SBRT and mFX. These cells were adoptively transferred into naïve mice followed by orthotopic pancreatic injection of KCKO-luc cells one day later. As a control, CD8⁻ T cells isolated from naïve mice were transferred to naïve recipients followed by injection of KCKO-luc cells one day later. Mice that received adoptive transfer of CD8⁺ T cells from animals that cleared tumors exhibited significantly reduced tumor growth when compared with naïve mice (Fig. 6D). These data indicated that CD8⁺ T cells were largely responsible for conferring antitumor immunity following concurrent therapy.

**Local SBRT treatment enhanced efficacy of systemic chemotherapy on distal metastases**

Our data suggested that systemic antitumor immunity was generated by concurrent SBRT and mFX treatment. This is of particular importance as many patients present both primary and metastatic lesions to which there are limited effective therapies. We developed a preclinical model that recapitulates metastatic PDAC where a primary pancreatic tumor was established with KCKO cells (these cells do not express luciferase) followed immediately by a hemisplenic injection of KCKO-luc cells to generate hepatic metastases (Fig. 7A): tracking tumors by bioluminescence only detected hepatic metastatic PDAC lesions. Tumor-bearing mice were left untreated, treated with mFX alone, SBRT alone, or concurrent therapy as described in Fig. 1A. SBRT was localized to the primary tumor only; liver metastases were outside the field of treatment. Only the concurrent treatment group resulted in a significant decrease of hepatic metastases and enhanced survival when compared with other groups (Fig. 7B). These data demonstrated that concurrent use of systemic mFX and localized SBRT could achieve stronger systemic immune responses that were capable of controlling metastases in PDAC.

**Discussion**

Immune cells play an integral role in mediating many of the antitumor effects of cancer treatment modalities such as radiation and chemotherapy, as loss of therapeutic efficacy occurs when components of the immune system are experimentally depleted (30–32). The TME consists of a multitude of immune cells that can ultimately influence, either positively or negatively, treatment outcome, thus these cells must be considered when designing cancer treatments (33). Here, we used ICD to determine the optimal treatment schedule of SBRT and modified chemotherapy to treat a recalcitrant malignancy such as PDAC. Changes in the dose and schedule of both SBRT and mFX greatly impacted the immune response, which subsequently dictated outcome. Our data not only provided mechanistic insight into how the concurrently administered combination of SBRT and mFX was eliciting a potent antitumor effect (both local and systemic), but also highlighted the importance of tumor immunology when designing treatment regimens. The proposed immunologic mechanism is illustrated in Fig. 7C and consists of both initiating and adaptive immune elements.

**Initiation phase**

Tumors, including PDAC, can have immunosuppressive TMEs, making it difficult to initiate and/or sustain a productive antitumor response (34). Therapies that recondition the immunosuppressive TME to an immunostimulatory TME can drive antitumor immunity (35). To repolarize an established immunosuppressive microenvironment, factors that initiate immune responses, such as DAMPs can be activated to first trigger innate immune cells (36). Therefore, we proposed that measuring the magnitude of induction...
Figure 5.
CD8+ T cells were essential for the antitumor effect by SBRT + mFX. A–F, Orthotopic pancreatic tumors were established and treated with mFX and SBRT as in Fig. 1. On day 11 (1 day after SBRT), mice were sacrificed, peripheral blood and tumors collected, and tumor-infiltrating CD8+ T cells (A), IFNγ+ CD8+ T cells (B), PD-1+ CD8+ T cells (C), CTLA-4+ CD8+ T cells (D), peripheral IFNγ+ CD8+ T cells (E), and effector CD8+ T cells (F) were determined by flow cytometry. G and H, Mice were injected with $2 \times 10^5$ KCKO-OVA cells in the tail of the pancreas, and treated with mFX on day 5 and SBRT on days 7, 8, 9, and 10 after tumor implantation. Mice were sacrificed on day 11, and SIINFEKL/H-2Kb dextramer–binding CD8+ T cells in tumor were determined by flow cytometry. G, Representative flow cytometry plots of SIINFEKL/H-2Kb dextramer–positive CD8+ T cells. H, Quantitative analysis of G. Data in A–F and H are expressed as mean ± SEM from 5 mice/group and analyzed by one-way ANOVA with Dunnett posttest. *, P < 0.05, treated group compared with no treatment group; #, P < 0.05, mFX + SBRT or SBRT-treated group compared with mFX-treated group.

I, Orthotopic pancreatic tumors were established and treated with mFX and SBRT as in Fig. 1. Left, tumor growth was determined by IVIS imaging. Data shown are mean of fold change of IVIS value ± SEM from 5 mice/group. *, P < 0.05, WT-mFX + SBRT compared with Rag1 KO-mFX + SBRT using one-way ANOVA with Dunnett posttest. Right, survival was observed. *, P < 0.05, mFX + SBRT-IgG compared with mFX + SBRT-CD8 depletion group using log-rank (Mantel–Cox) test.

p/sec/cm²/sr, photons/second/cm²/sr. J, Orthotopic pancreatic tumors were established in WT or Rag1 KO mice and treated with mFX and SBRT as in Fig. 1. Tumor growth was determined by IVIS imaging and the value of IVIS in wild-type mice on day 5 set as 1. Data shown are mean of fold change of IVIS value ± SEM from 5 mice/group. *, P < 0.05, WT-mFX + SBRT compared with Rag1 KO-mFX + SBRT using one-way ANOVA with Dunnett posttest.
of intratumoral DAMPs, which are expressed or released by tumor cells undergoing ICD, could serve as readout for immune response initiation (Fig. 7C, top). Administration of either SBRT or chemotherapy alone induced DAMPs (13, 37); however, changing the administration schedule of both treatment modalities resulted in a marked increase of these molecules and dictated efficacy and outcome. Both our in vitro and in vivo data indicated that concurrent, but not sequential, administration of SBRT + mFX stimulated the greatest release of DAMPs. In our model, the possible mechanism of action supporting the observation that DAMPs were increased following concurrent SBRT + mFX may be that mFX acts as a radiosensitizing agent, which allows for heightened tumor cell death (and ICD) by SBRT. Both 5-FU and oxaliplatin (components of FOLFIRINOX) augment double-stranded DNA breaks by either inhibiting DNA repair pathways (38) or by facilitating interstrand crosslinks that promote nucleotide damage and cell-cycle arrest/cell death (39). These factors may directly amplify the cytotoxic nature of SBRT or simply be an additive effect to the existing ICD-inducing properties of radiotherapy. Regardless, concurrent use of SBRT and mFX initiated a potent initiation phase, which in turn generated stronger antitumor immunity.

DCs were critical during the initiation phase as depletion of this cell subset significantly decreased treatment efficacy, suggesting that DCs may have stimulated the antitumor immune response observed concurrent therapy. Only concurrent therapy resulted in a heightened mature DC phenotype (increases of costimulatory marker and MHCII), that was closely associated with the augmentation of DAMPs in the TME. Concurrent therapy resulted in greater tumor antigen-presenting DCs in the draining lymph node and spleen, suggestive of increased DC trafficking from the tumor and/or a greater propensity of DCs to process and present tumor antigen ultimately resulting in an elevated density of antigen-carrying DCs in lymphoid tissue. Our data cannot dissociate whether this enhanced response was solely due to increased antigen availability, a qualitative difference in DCs on a per cell basis, or a combination of both factors. Collectively, our data demonstrated that the magnitude of the initial immune phase was important and suggests that targeting this stage, either by promoting ICD with radiosensitizing reagents, anthracyclines, photodynamic

Figure 6. Mice that cleared tumors after mFX + SBRT treatment were refractory to tumor rechallenge. A–C, Naive mice or mice that cleared tumors after mFX + SBRT treatment were given hemisplenic KCKO-luc cells. A, Representative IVIS imaging of hepatic tumor metastasis day 14 following tumor injection. B, Tumor growth in mice. Data are expressed as mean ± SEM. *, P < 0.05 by paired t test. C, Survival of mice. *, P < 0.05 by log-rank (Mantel–Cox) test. D, Conferring protection by adoptive transfer of CD8+ T cells isolated from mice that rejected hemisplenic tumor rechallenge. Tumor growth monitored by IVIS imaging. Data are expressed as mean ± SEM. *, P < 0.05 by paired t test. (From Ye et al. Cancer Immunol Res; 8(1) January 2020. (C) 2020 American Association for Cancer Research. cancerimmunolres.aacrjournals.org Downloaded from on April 17, 2021. © 2020 American Association for Cancer Research.)
therapy, or oncolytic viruses (13, 40, 41), along with DC maturation (e.g., TLR agonists, etc.; ref. 42), may help to optimize antitumor responses.

Adaptive immunity phase
Concurrent SBRT + mFX therapy induced a potent effector phase (Fig. 7C, bottom). The link between the magnitude of the initial...
antitumor response and subsequent effector phase was not surprising; however, a number of mechanistic factors were likely contributing to the heightened CD8+ T-cell responses. Concurrent therapy increased the density of intratumoral antigen-specific CD8+ T cells that were capable of secreting IFNγ. The typical PDAC TME is highly immunosuppressive and inhospitable to adaptive T-cell responses (43). For example, PDAC is comprised of abundant immunosuppressive myeloid cells (44). Fortunately, these myeloid cells are very plastic suggesting that a therapy (such as concurrent therapy) that results in a profound change in the TME can reprogram these cells to be immunostimulatory, rather than suppressive (44). This is likely the first step that allows intratumoral T cells a legitimate chance to elicit effector function. Once this occurs, CD8+ T cells can produce IFNγ, which amplifies the antitumor response causing a self-sustaining type of mechanism (45). For example, dampening of the immunosuppressive TME allows CD8+ T cells to produce IFNγ, induce IFNγ-mediated events, such as establishment of chemokine gradients (mediated by CXCL10, CXCL9, etc.), and upregulate vascular adhesion molecules (e.g., ICAM-1, VCAM-1), which facilitate extravasation of antitumor cells capable of recognizing and lysing tumor cells (46). It is possible that intratumoral myeloid cells may repolarize back to an immunosuppressive phenotype or heightened concentrations of IFNγ may result in exhausted T effector cells. In this scenario, immunotherapy targeting myeloid cell elimination/reprogramming or immune checkpoint inhibitors may be added to sustain the antitumor T-cell response initiated by concurrent therapy (18, 47–49).

The abscopal effect, defined as a systemic antitumor response generated by local radiotherapy, has been reported preclinically and clinically, but is overall infrequent, suggesting that radiotherapy alone is insufficient to induce a strong enough systemic response (50, 51). We hypothesized that increased ICD elicited by concurrent use of SBRT and mFX may amplify the systemic immune response and result in the control/elimination of distal disease. Our data support the generation of a systemic immune response following concurrent therapy as rechallenge of mice that cleared tumors failed to develop new tumors, immunity could be adoptively transferred to another mouse, and therapy targeting the primary pancreatic tumor reduced distal liver metastases. Tumor antigen-presenting DCs were present in draining lymph node, distal lymph nodes, and spleen, suggestive of systemic immunity at that particular time point. Overall, our data advocate for establishing optimal treatment combination/schedules that promote the abscopal effect.

Toxicity is a clinical concern when both SBRT and mFX are given concurrently (8). However, our data demonstrated that the minimal toxicity was similar between the concurrent treatment group and mFX alone. The observed tolerable levels of toxicity were likely a result of the treatment modality and dose. Because of the inherent toxic nature of chemotherapy, using a lesser dose of FOLFIRINOX therapy reduces toxicity and, in our model, was more effective than full dose chemo-therapy. Our preclinical toxicity observations are supported by clinical retrospective studies demonstrating that concurrent administration of SBRT and chemotherapy achieved effective local tumor control with minimal/well tolerated toxicity (52–54). The concurrent dosing regimen reported here is expected to promote an optimal immune response as SBRT utilizes fewer fractions than conventional radiotherapy meaning that radiosensitive antitumor lymphocytes are likely not destroyed by the repeated radiation fractions given in the conventional schedule. Along those same lines, the modified (lesser) dose of chemotherapy will likely spare these critical immune cells, which would have been eliminated or functionally inhibited to a greater extent by the full dose chemotherapy schedule (55).

Altogether, our preclinical data provide rationale for the clinical translation of concurrent SBRT and mFX to treat PDAC in a safe and efficacious manner. Concurrent therapy converted tumor cells into an endogenous vaccine by exposing tumor-associated antigen in an inflammatory context, which stimulated both local and systemic antitumor immunity. Moving forward, utilizing ICD as a therapeutic readout may allow for optimal treatment scheduling and improved cancer patient outcome.

Disclosure of Potential Conflicts of Interest
D.C. Linehan reports receiving a commercial research grant from Mina Therapeutics. No potential conflicts of interest were disclosed by the other authors.

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