

# The Balancing Act between Cancer Immunity and Autoimmunity in Response to Immunotherapy

Arabella Young<sup>1,2</sup>, Zoe Quandt<sup>1,3</sup>, and Jeffrey A. Bluestone<sup>1,4</sup>



## Abstract

The explosion in novel cancer immunotherapies has resulted in extraordinary clinical successes in the treatment of multiple cancers. Checkpoint inhibitors (CPIs) that target negative regulatory molecules have become standard of care. However, with the growing use of CPIs, alone or in combination with chemotherapy, targeted therapies, or other immune modulators, a significant increase in immune-related adverse events (irAEs) has emerged. The wide-ranging and currently unpredictable spectrum of CPI-induced irAEs can lead to profound pathology and, in some cases, death. Growing evidence indicates that many irAEs are

a consequence of a breakdown in self-tolerance, but the influence of genetics, the environment, and the mechanisms involved remains unclear. This review explores key questions in this emerging field, summarizing preclinical and clinical experiences with this new generation of cancer drugs, the growing understanding of the role of the immune response in mediating these toxicities, the relationship of CPI-induced autoimmunity to conventional autoimmune diseases, and insights into the mechanism of irAE development and treatment. *Cancer Immunol Res*; 6(12); 1445–52. ©2018 AACR.

## Immune-Related Adverse Events (irAEs): The Clinical Experience

Cancer immunotherapies, including monoclonal antibodies, oncolytic viruses, and T-cell therapies, have yielded unprecedented clinical success in the treatment of advanced cancers. Immune checkpoint inhibitors (CPIs) antagonizing the negative regulatory molecules cytotoxic T-lymphocyte-associated protein-4 (CTLA-4), programmed death-1 (PD-1), and programmed death-ligand 1 (PD-L1) have been approved by the FDA for a growing number of cancers and, in some cases, are now being utilized as first-line treatments (1). However, with their increasing use, a significant increase in associated immunotoxicities, termed immune-related adverse events (irAEs), has been observed. These irAEs have been reported in nearly every organ system, often leading to profound pathology and, in some cases, death (2–4). Anti-CTLA-4 and anti-PD-1/PD-L1 therapies display distinct patterns of tissue-specific irAEs, indicative of their differential roles in the maintenance of tolerance (5). Overall, the prevalence and severity of irAEs is greater with anti-CTLA-4 treatment than with anti-PD-1/PD-L1 treatments; however, their use in combination exacerbates the frequency of irAEs. Ipilimumab (anti-CTLA-4) preferentially promotes colitis and hypophysitis, whereas anti-PD-1 treatment is associated with higher rates of thyroiditis, and rare, but some-

times life-threatening, cases of pneumonitis and diabetes mellitus (Table 1; refs. 2, 6–8).

Although increasing experience with these therapeutic regimens has improved the diagnosis and monitoring of irAEs in clinical settings, limited mechanistic understanding remains a major obstacle for their clinical management. In some cases, irAEs are recognized as a consequence of an autoinflammatory response, driven by systemic activation of innate immunity and often treated with high-dose steroids and other anti-inflammatory drugs (9). In other cases, the irAEs are more likely autoimmune in nature, with the presence of autoantibodies and, in some cases, documented antigen-specific, memory T-cell responses indicative of adaptive immunity (6, 10–12). These apparent autoimmune conditions raise a number of key questions. (i) Do CPI-induced autoimmune irAEs develop by unmasking underlying conventional autoimmune diseases, or do they represent an alternate process for initiation of autoimmunity? (ii) Are there conventional adaptive immune mechanisms involved, or are immunotherapies altering novel mechanisms of immune tolerance, inducing systemic or tissue-specific immunity? (iii) What are the genetic or environmental triggers that lead to this collateral damage? In this perspective, we will focus on a number of current efforts to answer these questions. A detailed understanding of the interplay between antitumor immunity and irAEs, preclinical and clinical investigations in irAE development, and methods of identifying patients at risk will be highlighted. Defining the mechanistic basis of irAEs induced by individual immune therapies will lead to more precise therapeutic strategies to dampen or prevent irAEs without impeding antitumor immunity.

## Profiling Autoimmunity in Preclinical Models

Preclinical studies examining the role of inhibitory checkpoints can play an important role in delineating clinically relevant immune interactions (Fig. 1). Investigation of CTLA-4 and PD-1 blockade revealed that these molecules elicit differential effects on

<sup>1</sup>Diabetes Center and Sean N. Parker Autoimmune Research Laboratory, University of California San Francisco, San Francisco, California.

<sup>2</sup>QIMR Berghofer Medical Research Institute, Herston, Queensland, Australia.

<sup>3</sup>Division of Endocrinology, Department of Medicine, University of California San Francisco, San Francisco, California. <sup>4</sup>Parker Institute for Cancer Immunotherapy, San Francisco, California.

**Corresponding Author:** Jeffrey A. Bluestone, University of California, San Francisco, San Francisco, CA 94143-0540. Phone: 415-504-0417; E-mail: jbluestone@parkerici.org

**doi:** 10.1158/2326-6066.CIR-18-0487

©2018 American Association for Cancer Research.

**Table 1.** Unique characteristics of CPI-induced irAEs observed in the clinic that aid in understanding immune mechanism

irAE	Autoimmune vs. autoinflammatory	Preclinical and translational mechanistic evidence	Severe irAE treatment	Evidence of improved clinical objective response
Anti-CTLA-4 predominant irAE				
Colitis	Autoinflammatory	T-cell repertoire diversification (44), Treg depletion (32), increased IL17 (46), increased neutrophil gene signature and infiltrate (74)	Corticosteroids, infliximab, vedolizumab (9)	Yes (57)
Hypophysitis	Autoimmune	CTLA-4 expression on pituitary gland initiating activation of complement (10, 37)	Hormone replacement	Yes (56)
Anti-PD-1/PD-L1 predominant irAE				
Diabetes mellitus	Autoimmune	PD-L1 expression on stressed beta cells (35)	Insulin	Suggested with limited evidence (39)
Hypothyroidism	Autoimmune	Autoantibody amplification (6)	Hormone replacement	Yes (6)
Pneumonitis	Ambiguous	Unknown	Corticosteroid, infliximab or cyclophosphamide, mycophenolate mofetil or IVIg (9)	Suggested with limited evidence (75)
Myocarditis	Autoimmune	Shared tumor and organ-specific neoantigen (12)	Corticosteroids, IVIg, plasmapheresis (9)	Difficult to assess given irAE-related mortality
Melanoma patients				
Vitiligo	Autoimmune	Shared tumor and self-antigens (55)	None	Yes (55)

Abbreviations: CTLA-4, cytotoxic T-lymphocyte-associated protein-4; irAE, immune-related adverse event; IVIg, intravenous immunoglobulin; PD-1, programmed death-1; PD-L1, programmed death-ligand 1; Treg, regulatory T cell.

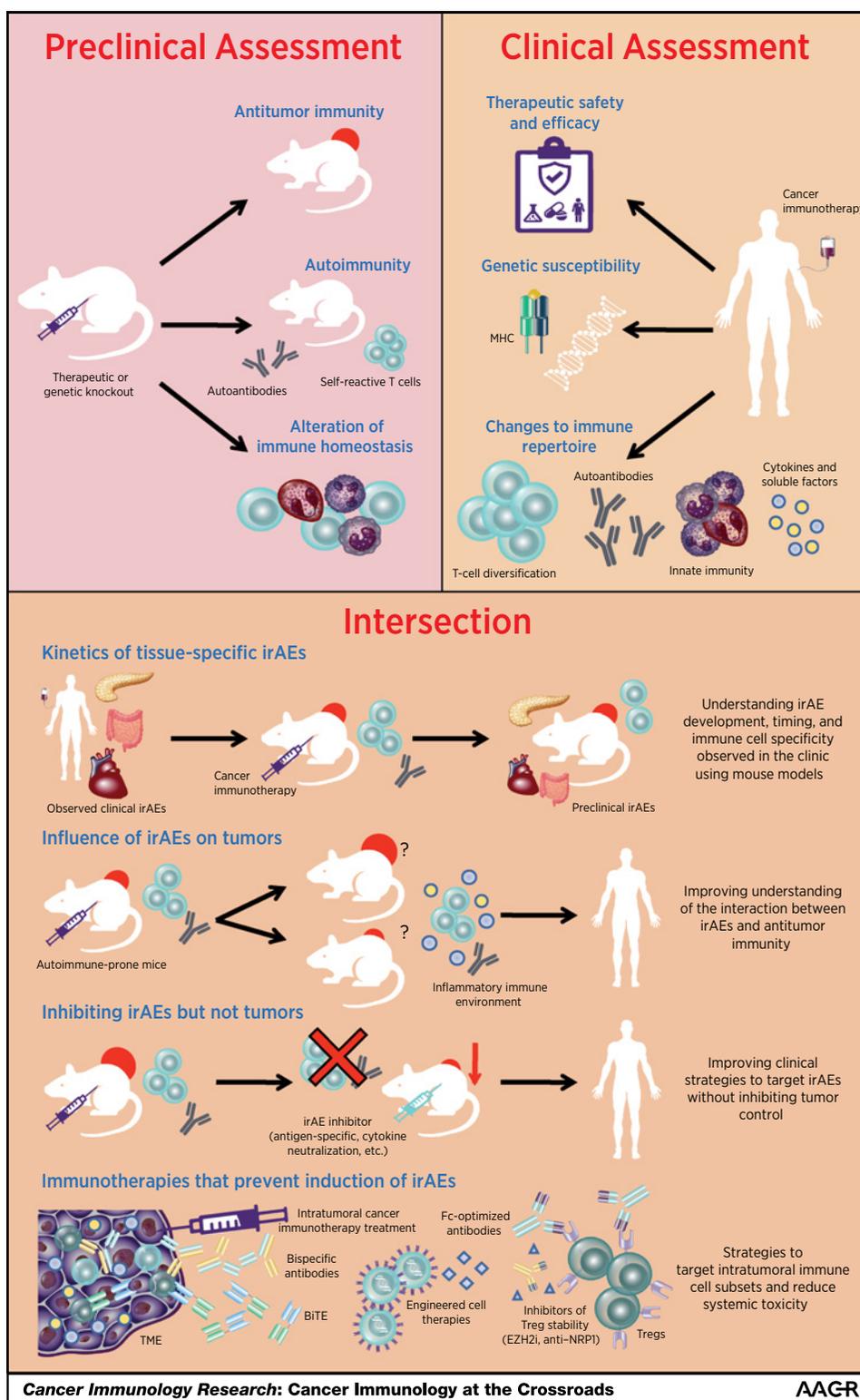
T-cell immunity. CTLA-4 predominantly alters CD4<sup>+</sup> T-cell activation in the lymph nodes, whereas PD-1 mediates CD8<sup>+</sup> T-cell activity in the tissue (5). CTLA-4-deficient mice rapidly succumb to lymphoproliferative disease with severe multiorgan failure (13, 14). In contrast, mice with PD-1-deficiency have more delayed and restricted pathologies, with tissue-specific infiltration in a strain-dependent manner (15, 16). In non-obese diabetic (NOD) mice, genetically susceptible to the development of autoimmune type 1 diabetes (T1D), anti-PD-1 rapidly induces diabetes, whereas anti-CTLA-4 precipitates disease only in neonates (17, 18). Additional immune checkpoints targeted by either gene-knockout or antibody-directed therapies have been shown to abrogate tumor growth, leading to early-phase clinical testing. However, targeting many of these molecules, including inhibitory receptors TIM-3, LAG-3, and TIGIT (reviewed by ref. 19), has also been shown to potentiate autoimmunity in preclinical models, illustrating the range of tolerogenic mechanisms that contribute to control of a self-directed immune response. CPIs may also act on cells other than naïve and effector or memory T cells. For anti-CTLA-4, evidence in mouse models shows that these antagonists alter regulatory T cell (Treg) numbers and function (20, 21). For anti-PD-1/PD-L1 therapy, this remains unclear, but the PD-1 engagement may functionally control CD28 signaling, a critical costimulatory pathway in Tregs, suggesting they influence these cells as well (22, 23).

The potential for improved antitumor immunity through targeting multiple, nonredundant immunomodulatory pathways has led to early-phase clinical trials for a variety of combinatorial strategies. These combination treatments may improve the efficacy of cancer immunotherapy but may also amplify irAEs. Examining gene-targeted mice with multiple immunoregulatory molecule deficiencies may assist in recognizing potential pathologies and mechanisms for organ-specific disruption of tolerance in response to immunotherapy combinations. PD-1 and VISTA dual-knockout C57BL/6 (B6) mice display increased immune infiltration into tissues, whereas CD96 and PD-1 double-deficient mice have minimal impact on immune homeostasis or pathol-

ogies (24, 25). Combined loss of PD-1 and LAG-3 results in lethal autoimmunity in mice (26, 27). Despite differential effects on autoimmunity, each molecule in combination with anti-PD-1 enhances antitumor immunity (24, 26, 28). Thus, cotargeting complementary pathways of immune regulation can clearly affect tumor immunity, but the frequency and severity of irAEs remains to be evaluated fully in clinical trials. Although mouse models may suggest an augmentation in the frequency of irAEs in combination studies, clinical efforts may not reflect this. Anti-LAG-3 plus nivolumab (anti-PD-1) demonstrates a similar safety profile to nivolumab alone (29). Nonetheless, animal models provide a valuable tool for interrogation of organ-specific tolerogenic mechanisms maintained by different immunoregulatory molecules.

The study of CPI-induced toxicity has been limited in many clinical settings due to the rarity of specific events and absence of appropriate tissue material. Animal models have been limited to strains such as B6 and BALB/c mice, which are generally resistant to autoimmune sequelae. Thus, the adverse effects of CPIs have benefited from animal studies that have compromised regulatory pathways. CPI treatment of mice, in which Tregs have been transiently depleted to heighten immune cell activation and lower the threshold to overcome immune tolerance, has been used to increase the risk for immunotoxicity (30). Using a short-term Treg depletion strategy, individual antibodies that antagonize inhibitory and agonize stimulatory immune modulators have been compared. As a single agent, both anti-PD-1 antagonism and agonistic anti-CD137 treatment enhance antitumor activity. However, anti-CD137 treatment leads to more severe toxicities than anti-PD-1, consistent with clinical observations, particularly for hepatotoxicity (31). Antibody-mediated neutralization of tumor necrosis factor (TNF)- $\alpha$  reverses anti-CD137-induced irAEs without impeding antitumor immunity (30). These results suggest that animal models not only may be useful in determining irAE risk but may also facilitate development of combinations that can avoid toxicities while maximizing antitumor activity. B6 mice expressing humanized CTLA-4 identified human CTLA-4

**Figure 1.** The intersection between preclinical and clinical studies. Cancer immunotherapies undergo preclinical screening for their potential suitability for clinical use, with considerations to their ability to mediate antitumor immunity and autoimmunity determined. Following translation to clinical utility, the ability to answer clinical questions relating to response to therapy and safety profile is critical for clinical success. Many of these questions cannot be answered using available clinical parameters alone and rely on revisiting preclinical models. This represents an intersection between preclinical and clinical studies to improve our understanding of therapy-induced phenomena, such as the development of irAEs, to improve mechanistic understanding and clinical management. Ag: antigen; BiTE: bispecific T-cell engager; EZH2i: enhancer of zeste homolog 2 inhibitor; irAEs: immune-related adverse events; MHC: major histocompatibility complex; NRPI: neuropilin 1; TME: tumor microenvironment; Treg: regulatory T cell.



monoclonal antibodies with reduced irAEs compared with clinically approved ipilimumab without compromising tumor control (32). Ipilimumab-mediated antitumor immunity in mouse models expressing human CTLA-4 or human Fcγ receptors was

attributed to Treg depletion in the tumor (20, 32). Although limited evidence exists for Treg depletion in anti-CTLA-4-treated cancer patients, ipilimumab patients with Fcγ receptor polymorphisms have improved survival outcomes in tumors with

high mutational burden (20). Further testing of novel immunotherapy combinations in these Treg-compromised models will determine antitumor efficacy versus immunotoxicity in these autoimmune-prone settings.

Although the manipulation of standard mouse strains has been useful, developing tumor models in mouse strains prone to autoimmune and other immune-related toxicities will provide additional benefits. NOD mice are predisposed to developing autoimmune manifestations, and multiple genetic loci that precipitate autoimmunity have been identified (reviewed in ref. 33). Some *insulin-dependent diabetes (Idd)* susceptibility loci prevent anti-PD-1-induced diabetes (34). Autoimmune mice allow for detailed investigations of target expression during autoimmune and antitumor immune conditions. PD-L1 expression is increased with accumulation of immune infiltrate in the islets of Langerhans in NOD mice, suggesting that the PD-1/PD-L1 axis may be critical for disarming autoreactive T cells and preventing T1D onset (35). Diabetes in NOD mice can also be induced months after tolerance induction using anti-PD-1 and anti-PD-L1 (36). In addition, ectopic CTLA-4 expression on pituitary gland endocrine cells may be the direct target of antibody-mediated hypophysitis following anti-CTLA-4 treatment through activation of complement (10, 37). Thus, it will be essential to develop tumor models in autoimmune-prone strains to enable interrogation of the autoimmune and antitumor immune interface and provide insights into the clinical manifestations of irAEs, as well as various genetic and environmental parameters that better define the human experience (Fig. 1).

### Comparing Conventional and Immunotherapy-Induced Autoimmunity in Patients

Determining whether irAEs develop similarly to conventional forms of spontaneous autoimmunity and, therefore, share common features such as autoantibody presentation, autoreactive T cells, and underlying genetic risk factors is of great interest. For conventional T1D, autoantibody positivity for islet-associated antigens is present in over 90% of cases at diagnosis (38), but in CPI-induced diabetes, the same autoantibodies are positive in fewer than half the cases (39). Similarly, patients with rheumatological irAEs are negative for rheumatoid factor and cyclic citrullinated protein antibodies and frequently have low or negative titers for antinuclear antibodies at presentation (40). In contrast, thyroid antibodies are associated with thyroid irAEs induced by anti-PD-1 treatment in non-small cell lung cancer (NSCLC), suggesting that humoral immunity may play a role in some forms of irAEs (6).

When autoantibodies related to conventional disease are not present, screening for alternate antibodies may both assist with defining disease and providing mechanistic insight by identifying functionally significant pathways for irAEs. In patients receiving intralesional *Bacillus Calmette-Guérin* (BCG) and ipilimumab for melanoma, an increased number of autoantibody specificities was found in individuals with severe irAEs (41). Similarly, interrogation of global antibody profiles prior to CPI treatment identified toxicity-associated antibody signatures that were heightened in patients who developed severe irAEs (42). Minimal signature or functional overlap was identified between different CPI treatment groups, including mono-

therapy versus combination anti-PD-1 and anti-CTLA-4 treatment (42). This finding also highlights the potential variation in the mechanism by which autoimmune sequelae develop in response to CPI treatment but should be replicated with separation of irAEs based on organ pathology rather than severity alone. Decreased circulating B cells after CPI treatment correlate with time to onset and severity of immunotoxicity, irrespective of site, with cellular changes preceding clinical manifestation of irAEs (43). However, whether reduced proportions of B cells reflect egress to tissues, differentiation, or apoptotic programs and the subsequent effect on antibody production is not known.

Alterations to the T-cell repertoire in response to CPI treatment have been reported to correlate with both therapeutic response and severity of irAEs. An increased number of expanded CD8<sup>+</sup> T-cell clones in the periphery of prostate cancer patients receiving ipilimumab correlated with severe irAEs (44). Another study confirmed that patients who develop irAEs display increased T-cell diversification in the periphery 2 weeks after treatment initiation with ipilimumab and GM-CSF (11). Determining pathogenic antigen recognition versus bystander expansion of T-cell clones is of major interest and may represent an opportunity to develop tolerogenic therapies in the form of antigen-specific Tregs and peptide-based approaches to be given prophylactically to at-risk individuals. Similarly, the presence of previously defined autoreactive T cells from spontaneous autoimmune disease has not been assessed in CPI-treated patients developing irAEs.

Major histocompatibility complex (MHC) genes [human leukocyte antigens (HLA)] are known to confer risk to the development of many spontaneously occurring autoimmune diseases. In hypophysitis, HLA markers DQ8 and DR53 are associated with sporadic, but not anti-CTLA-4, treatment-associated disease (45). In CPI-induced diabetes, the T1D high-risk HLA allele DR4 is significantly more prevalent than reported frequencies in US Caucasians and individuals who develop spontaneous T1D (39). As the association between individual irAEs and genetic variation, including HLA type, are discovered, it may become clinically useful to carry out genetic risk screening prior to choosing an appropriate CPI treatment plan if multiple treatment lines have similar antitumor efficacy but are disparate for an individual's risk of irAE.

Serum cytokine levels may also provide predictive value and mechanistic insight for patient susceptibility to CPI-induced irAEs. Preexisting, circulating IL17 may predict which ipilimumab-treated melanoma patients could develop severe diarrhea and colitis (46). IL17 plays a critical role in the pathogenesis of inflammatory immune-mediated diseases, including various forms of arthritis and bowel diseases. Gastrointestinal irAEs are the most common co-occurring immunotoxicities in CPI-treated patients with inflammatory arthritic irAEs (40). Although defining a correlation between multiple irAEs requires a greater number of patients, it may suggest a link between IL17-driven pathogenesis and the type of autoimmune sequelae that co-occur in response to CPI treatment, with potential for a targeted approach to relieve irAEs.

Taken together, these data suggest that for autoimmune irAEs, T cells and antibodies recognizing autoantigens may, at least in part, be responsible for immunotherapy-induced pathologies, but in many cases, display alternate features compared with conventional autoimmune diseases. However, a more complete

interrogation of these immune processes will be necessary in the human setting by initiating dedicated efforts to collect clinical and mechanistic data longitudinally, starting prior to immunotherapy commencement to understand key parameters of disease etiology and mechanistic considerations.

## Environmental Influences on irAEs

Growing evidence suggests that an individual's gut microflora can alter immune homeostasis and tolerance. Both microbial diversity and abundance may affect the development of immunotoxicity and antitumor immune responses to CPI treatment by altering the immune composition in the tumor and periphery (47–50). In preclinical models, the presence of certain species of *Bacteroides* and *Burkholderiales* not only potentiates anti-CTLA-4 tumor control, but also reduces the severity of experimental colitis following CTLA-4 blockade (51). Similarly, enrichment of the *Bacteroidetes* phylum was identified in colitis-free, ipilimumab-treated melanoma patients (49, 52), whereas the *Firmicutes* phylum was enriched preceding ipilimumab in patients developing colitis (49). Administration of antibiotics, which potentially disrupt commensal microbiota, prior to CPI treatment reduces survival benefit for renal cell carcinoma and NSCLC patients (53) and may further highlight an interaction among microbiota, antitumor immunity, and irAEs. Mechanistically, microbial changes may alter immune responses through cross-reactivity and mimicry of endogenous molecules or tumor-derived neoantigens, promotion of metabolic activity, or influencing recruitment, and polarization of inflammatory immune cells. Understanding the impact of the microbiome on the immune response will be essential in enabling the treatment of dysbiosis and to reestablish equilibrium of the protective gut microflora to limit irAEs. It will also be equally critical to determine the influence of nongastrointestinal microbiota and whether anti-PD-1/PD-L1-driven irAEs are influenced by microbial composition and other environmental factors.

## Treatment of irAEs without Impeding Antitumor Immunity

Studies of differential treatment responses based on irAE development have been conflicting, with some reporting improved response in patients who develop irAEs (4, 54) and others that report no difference (3). Investigation of the effect of individual irAEs within different cancer subtypes may provide more clarity. Vitiligo occurs preferentially in melanoma patients, highlighting a crossover between antitumor immunity and autoimmunity, with hypopigmentation correlated to improved outcome to immunotherapy (55). This is likely due to an interaction between self-antigens that persist in the tumor, which also become an immune target in nonmalignant, pigmented cells. The presence of autoimmune sequelae, such as hypophysitis in patients treated with ipilimumab for melanoma (56), thyroid dysfunction following pembrolizumab in NSCLC patients (6), and gastrointestinal irAEs for CPI treatment of advanced malignancy (57) all reveal favorable survival outcomes despite therapeutic intervention and without clear indication for the shared response. Understanding the mechanism by which autoimmunity and tumor immunity provide interactive responses will assist in developing therapeutic interventions to moderate autoimmune irAEs, without impacting the antitumor immune response.

The mainstay of irAE treatment is corticosteroids of varying doses, depending on the severity of the irAE (9, 58). Some high-grade or corticosteroid-refractory irAEs are treated with additional immunosuppressive agents (9, 58). Because corticosteroids act as a systemic immunosuppressive agent through induction of apoptosis of lymphocytes among other mechanisms, it has been theorized that corticosteroids may attenuate the antitumor immune response initiated after CPI treatment. Although multiple studies have suggested that corticosteroids do not worsen overall survival or other cancer outcome measures (3, 4), these studies have not adequately accounted for the possibility that the response benefit from the irAEs was attenuated by the use of corticosteroids or other immunosuppressive agents. In a study of melanoma patients with ipilimumab-induced hypophysitis, patients treated with low-dose steroids, rather than high-dose steroids, had a significantly better melanoma response to ipilimumab with no difference in clinical benefit for treatment of hypophysitis. This study suggests that the high-dose corticosteroids used to treat irAEs may attenuate the improved response that the irAE portends. Additionally, NSCLC patients receiving corticosteroids at baseline experienced an inferior treatment response to PD-1/PD-L1 inhibitors compared with patients not on chronic corticosteroids (59), suggesting that steroid treatment may be detrimental to CPI-induced antitumor responses.

Other immunosuppressive agents used as second- or third-line therapies for specific irAEs include infliximab, vedolizumab, mycophenolate mofetil, cyclophosphamide, IVIg, plasmapheresis, and others (9, 58), with mounting evidence for the safety and efficacy of these medications for treatment of irAEs. Infliximab neutralizes TNF $\alpha$  (57, 60), whereas vedolizumab is a monoclonal antibody against  $\alpha$ 4 $\beta$ 7 integrin, expressed primarily on a subset of CD4<sup>+</sup> T cells in the gut (61), allowing for irAE treatment to be relatively gut-specific. Both have been used for the treatment of steroid-refractory enterocolitis, and some experts recommend early use of infliximab (3, 4, 60). Two small studies (57, 60) comparing cancer outcomes of patients with enterocolitis requiring infliximab in addition to high-dose corticosteroids to patients treated with high-dose corticosteroids alone did not identify a survival difference. However, it was suggested that shortened corticosteroid treatment alongside infliximab may reduce infection risk and improve immune recovery.

Organ-specific and systemic immune responses are not just limited to cancer patients receiving CPIs or other immunomodulatory therapeutics. Cancer patients receiving chimeric antigen receptor (CAR) T-cell therapy can also develop cytokine release syndrome, which has some similarities to CPI-induced irAEs and may provide insights into the overall irAE experience. In the CAR T-cell therapy setting, anti-IL6/IL6 receptor agents are used in many patients prior to use of systemic corticosteroids because the latter may reduce the efficacy of the CAR T-cell therapy (62). The use of IL6 inhibition for CPI-induced irAEs is not common, but case reports of successful prevention and treatment of irAEs with use of IL6 blockade exist (63–65). Evidence for improved antitumor immune responses with IL6 blockade in combination with PD-1 blockade in preclinical mouse models has also been reported (66). As CAR T-cell therapies become more widely used in the cancer setting, including for solid tumors, it is likely that the number and kind of irAEs will increase, indicating a need to develop animal models to better understand and treat these irAEs (67, 68).

## Cancer Immunotherapeutic Strategies That Prevent Induction of irAEs

Although improved mechanistic understanding to monitor and treat irAEs will enhance clinical management of immunotherapy-treated patients, development of therapeutic strategies that induce tumor-specific immunity without the threat of systemic irAEs will provide greatest clinical benefit (Fig. 1). Targeting intratumoral Tregs without peripheral depletion may improve therapeutic specificity. Fc optimization of a depleting anti-CD25 enhanced intratumoral Treg depletion and, when combined with anti-PD-1, augmented tumor eradication (69). However, a reduction in peripheral Tregs remained evident, highlighting a need for identification of markers that define tumor-infiltrating Tregs. Modulating Treg stability may also promote antitumor immunity with increased therapeutic safety. Targeting Treg-derived EZH2 or NRP1, both having high expression in tumor-infiltrating Tregs, bolsters production of proinflammatory cytokines, leading to antitumor immunity without autoimmune consequences (70, 71). Inhibitors of the epigenetic modifier EZH2 are in early-phase clinical trials as anticancer agents, and their impact on immune cell function should be evaluated.

Bispecific antibodies, and alternate antibody-based structures, that target multiple tumor and immune components simultaneously may selectively enhance localized, tumor-targeted immune activity with reduced risk of irAEs. In the clinic, reversible autoinflammatory toxicities, but not autoimmunity, have been observed in some patients when cotargeting T cells by CD3 engagement alongside tumor antigens. Refined marker selection will be critical to ensure their clinical utility. Dual immunomodulators targeting inhibitory PD-1 and LAG-3, or targeting stimulatory OX40 and inhibitory CTLA-4 are entering early-phase clinical testing (72), providing an opportunity to compare their therapeutic safety and efficacy to monoclonal antibody combination treatment. In addition to multiarm antibody approaches, engineered cell therapies may assist in fine-tuning immune cell responses to provide greater therapeutic control. Orthogonalization of ligand and receptor interactions, which retain native receptor signaling but limit negative pleiotropic, off-target effects, may be used for maximizing the potential of adoptive cell therapies and was achieved for the IL2/IL2R $\beta$  interaction (73). Selection of the route for therapeutic administration may also alter the onset of irAEs. With increasing interest in intratumoral therapies and observed abscopal effects, it will be important to learn if more localized immunotherapies affect irAEs.

## References

1. Khalil DN, Smith EL, Brentjens RJ, Wolchok JD. The future of cancer treatment: immunomodulation, CARs and combination immunotherapy. *Nat Rev Clin Oncol* 2016;13:394.
2. Boutros C, Tarhini A, Routier E, Lambotte O, Ladurie FL, Carbonnel F, et al. Safety profiles of anti-CTLA-4 and anti-PD-1 antibodies alone and in combination. *Nat Rev Clin Oncol* 2016;13:473–86.
3. Horvat TZ, Adel NG, Dang TO, Momtaz P, Postow MA, Callahan MK, et al. Immune-related adverse events, need for systemic immunosuppression, and effects on survival and time to treatment failure in patients with melanoma treated with ipilimumab at Memorial Sloan Kettering Cancer Center. *J Clin Oncol* 2015;33:3193–8.
4. Weber JS, Hodi FS, Wolchok JD, Topalian SL, Schadendorf D, Larkin J, et al. Safety profile of nivolumab monotherapy: a pooled analysis of patients with advanced melanoma. *J Clin Oncol* 2017;35:785–92.

## Concluding Remarks

The presence of irAEs is indicative of an inflammatory immune response and is precipitated due to potential cross-reactivity between tumor and self, underlying predisposition to autoimmunity, or collateral damage from cytokine-induced inflammation. Improving our mechanistic understanding of factors that lead to immunotherapy-induced autoimmune pathologies may facilitate identification of biomarkers to predict or monitor onset of disease, enabling clinicians to more accurately treat autoimmune irAEs in immunotherapy-treated cancer patients. Knowledge of those cancer patients with higher susceptibility for irAEs will provide improved clinical care and heightened monitoring to prevent complications from the development of potentially life-threatening irAEs and limit the impact of treatment disruption to an individual's cancer care. As we improve our understanding of how each CPI treatment disrupts immune tolerance, we may be able to identify mechanisms to direct patients toward their most appropriate treatment type or engineer alternative therapies that control or prevent tissue inflammation without exacerbating tumor growth to provide optimal antitumor immunity with minimal irAEs for greatest clinical efficacy and safety.

## Disclosure of Potential Conflicts of Interest

J.A. Bluestone is CEO and President of Parker Institute for Cancer Immunotherapy; reports receiving commercial research funding from Juno/Celgene; has ownership interest in Celsius Therapeutics, Rheos Medicines, Arcus Biosciences, Solid Biosciences, Vir Biotechnology, and Quentis Therapeutics; and is a consultant/advisory board member for Quentis Therapeutics, Vir Biotechnology, Solid Biosciences, Arcus Biosciences, Rheos Medicines, Celsius Therapeutics, Pfizer, and Merck. No potential conflicts of interest were disclosed by the other authors.

## Disclaimer

The contents of this article are solely the responsibility of the authors and do not necessarily represent the official views of the NIH.

## Acknowledgments

A. Young was supported by an NHMRC C.J. Martin Fellowship (1143981). Z. Quandt was supported by the National Center for Advancing Translational Sciences, NIH, through UCSF-CTSI Grant Number TL1 TR001871. The research was also supported by the Parker Institute for Cancer Immunotherapy and the Sean N. Parker Autoimmune Research Laboratory.

The costs of publication of this article were defrayed in part by the payment of page charges. This article must therefore be hereby marked *advertisement* in accordance with 18 U.S.C. Section 1734 solely to indicate this fact.

5. June CH, Warshauer JT, Bluestone JA. Is autoimmunity the Achilles' heel of cancer immunotherapy? *Nature Medicine* 2017;23:540–7.
6. Osorio JC, Ni A, Chaff JE, Pollina R, Kasler MK, Stephens D, et al. Antibody-mediated thyroid dysfunction during T-cell checkpoint blockade in patients with non-small-cell lung cancer. *Ann Oncol* 2017;28:583–9.
7. Dillard T, Yedinak CG, Alumkal J, Fleseriu M. Anti-CTLA-4 antibody therapy associated autoimmune hypophysitis: serious immune related adverse events across a spectrum of cancer subtypes. *Pituitary* 2010;13:29–38.
8. Gauci ML, Laly P, Vidal-Trecan T, Baroudjian B, Gottlieb J, Madjlessi-Ezra N, et al. Autoimmune diabetes induced by PD-1 inhibitor-retrospective analysis and pathogenesis: a case report and literature review. *Cancer Immunol Immunother* 2017;66:1399–410.

9. Puzanov I, Diab A, Abdallah K, Bingham CO III, Brogdon C, Dadu R, et al. Managing toxicities associated with immune checkpoint inhibitors: consensus recommendations from the Society for Immunotherapy of Cancer (SITC) Toxicity Management Working Group. *J Immunother Cancer* 2017;5:95.
10. Iwama S, De Remigis A, Callahan MK, Slovin SF, Wolchok JD, Caturegli P. Pituitary expression of CTLA-4 mediates hypophysitis secondary to administration of CTLA-4 blocking antibody. *Sci Transl Med* 2014; 6:230ra45.
11. Oh DY, Cham J, Zhang L, Fong C, Kwek SS, Klinger M, et al. Immune toxicities elicited by CTLA-4 blockade in cancer patients are associated with early diversification of the T-cell repertoire. *Cancer Res* 2017; 77:1322–30.
12. Johnson DB, Balko JM, Compton ML, Chalkias S, Gorham J, Xu Y, et al. Fulminant myocarditis with combination immune checkpoint blockade. *N Engl J Med* 2016;375:1749–55.
13. Tivol EA, Borriello F, Schweitzer AN, Lynch WP, Bluestone JA, Sharpe AH. Loss of CTLA-4 leads to massive lymphoproliferation and fatal multiorgan tissue destruction, revealing a critical negative regulatory role of CTLA-4. *Immunity* 1995;3:541–7.
14. Waterhouse P, Penninger JM, Timms E, Wakeham A, Shahinian A, Lee KP, et al. Lymphoproliferative disorders with early lethality in mice deficient in Ctl-4. *Science* 1995;270:985–8.
15. Nishimura H, Nose M, Hiai H, Minato N, Honjo T. Development of lupus-like autoimmune diseases by disruption of the PD-1 gene encoding an ITIM motif-carrying immunoreceptor. *Immunity* 1999;11: 141–51.
16. Nishimura H, Okazaki T, Tanaka Y, Nakatani K, Hara M, Matsumori A, et al. Autoimmune dilated cardiomyopathy in PD-1 receptor-deficient mice. *Science* 2001;291:319–22.
17. Ansari MJ, Salama AD, Chitnis T, Smith RN, Yagita H, Akiba H, et al. The programmed death-1 (PD-1) pathway regulates autoimmune diabetes in nonobese diabetic (NOD) mice. *J Exp Med* 2003;198:63–9.
18. Luhder F, Hoglund P, Allison JP, Benoist C, Mathis D. Cytotoxic T lymphocyte-associated antigen 4 (CTLA-4) regulates the unfolding of autoimmune diabetes. *J Exp Med* 1998;187:427–32.
19. Anderson AC, Joller N, Kuchroo VK. Lag-3, Tim-3, and TIGIT: Co-inhibitory receptors with specialized functions in immune regulation. *Immunity* 2016;44:989–1004.
20. Arce Vargas F, Furness AJS, Litchfield K, Joshi K, Rosenthal R, Ghorani E, et al. Fc effector function contributes to the activity of human anti-CTLA-4 antibodies. *Cancer Cell* 2018;33:649–63e4.
21. Selby MJ, Engelhardt JJ, Quigley M, Henning KA, Chen T, Srinivasan M, et al. Anti-CTLA-4 antibodies of IgG2a isotype enhance antitumor activity through reduction of intratumoral regulatory T cells. *Cancer Immunol Res* 2013;1:32–42.
22. Hui E, Cheung J, Zhu J, Su X, Taylor MJ, Wallweber HA, et al. T cell costimulatory receptor CD28 is a primary target for PD-1-mediated inhibition. *Science* 2017;355:1428–33.
23. Kamphorst AO, Wieland A, Nasti T, Yang S, Zhang R, Barber DL, et al. Rescue of exhausted CD8 T cells by PD-1-targeted therapies is CD28-dependent. *Science* 2017;355:1423–7.
24. Liu J, Yuan Y, Chen W, Putra J, Suriawinata AA, Schenk AD, et al. Immune-checkpoint proteins VISTA and PD-1 nonredundantly regulate murine T-cell responses. *Proc Natl Acad Sci USA* 2015;112:6682–7.
25. Harjunpaa H, Blake SJ, Ahern E, Allen S, Liu J, Yan J, et al. Deficiency of host CD96 and PD-1 or TIGIT enhances tumor immunity without significantly compromising immune homeostasis. *Oncoimmunology* 2018;7: e1445949.
26. Woo SR, Turnis ME, Goldberg MV, Bankoti J, Selby M, Nirschl CJ, et al. Immune inhibitory molecules LAG-3 and PD-1 synergistically regulate T-cell function to promote tumoral immune escape. *Cancer Res* 2012; 72:917–27.
27. Okazaki T, Okazaki IM, Wang J, Sugiura D, Nakaki F, Yoshida T, et al. PD-1 and LAG-3 inhibitory co-receptors act synergistically to prevent autoimmunity in mice. *J Exp Med* 2011;208:395–407.
28. Blake SJ, Stannard K, Liu J, Allen S, Yong MC, Mittal D, et al. Suppression of metastases using a new lymphocyte checkpoint target for cancer immunotherapy. *Cancer Discov* 2016;6:446–59.
29. Ascierto PA, Melero I, Bhatia S, Bono P, Sanborn RE, Lipsen EJ, et al. Initial efficacy of anti-lymphocyte activation gene-3 (anti-LAG-3; BMS-986016) in combination with nivolumab (nivo) in pts with melanoma (MEL) previously treated with anti-PD-1/PD-L1 therapy. *J Clin Oncol* 2017;35: (suppl); abstr 9520, 2017 ASCO Annual Meeting).
30. Liu J, Blake SJ, Harjunpaa H, Fairfax KA, Yong MC, Allen S, et al. Assessing immune-related adverse events of efficacious combination immunotherapies in preclinical models of cancer. *Cancer Res* 2016;76:5288–301.
31. Yonezawa A, Dutt S, Chester C, Kim J, Kohrt HE. Boosting cancer immunotherapy with anti-CD137 antibody therapy. *Clin Cancer Res* 2015;21: 3113–20.
32. Du X, Liu M, Su J, Zhang P, Tang F, Ye P, et al. Uncoupling therapeutic from immunotherapy-related adverse effects for safer and effective anti-CTLA-4 antibodies in CTLA4 humanized mice. *Cell Res* 2018;28:433–47.
33. Anderson MS, Bluestone JA. The NOD mouse: a model of immune dysregulation. *Annu Rev Immunol* 2005;23:447–85.
34. Kochupurakkal NM, Kruger AJ, Tripathi S, Zhu B, Adams LT, Rainbow DB, et al. Blockade of the programmed death-1 (PD1) pathway undermines potent genetic protection from type 1 diabetes. *PLoS One* 2014;9:e89561.
35. Rui J, Deng S, Arazi A, Perdigoto AL, Liu Z, Herold KC. Beta cells that resist immunological attack develop during progression of autoimmune diabetes in NOD mice. *Cell Metab* 2017;25:727–38.
36. Fife BT, Guleria I, Gubbels Bupp M, Eagar TN, Tang Q, Bour-Jordan H, et al. Insulin-induced remission in new-onset NOD mice is maintained by the PD-1-PD-L1 pathway. *J Exp Med* 2006;203:2737–47.
37. Caturegli P, Di Dalmazi G, Lombardi M, Grosso F, Larman HB, Larman T, et al. Hypophysitis secondary to cytotoxic T-Lymphocyte-Associated protein 4 blockade: insights into pathogenesis from an autopsy series. *Am J Pathol* 2016;186:3225–35.
38. Bingley PJ. Clinical applications of diabetes antibody testing. *J Clin Endocrinol Metab* 2010;95:25–33.
39. Stamatouli AM, Quandt Z, Perdigoto AL, Clark PL, Kluger H, Weiss SA, et al. Collateral damage: insulin-dependent diabetes induced with checkpoint inhibitors. *Diabetes* 2018.
40. Cappelli LC, Gutierrez AK, Baer AN, Albaday J, Manno RL, Haque U, et al. Inflammatory arthritis and sicca syndrome induced by nivolumab and ipilimumab. *Ann Rheum Dis* 2017;76:43–50.
41. Da Gama Duarte J, Parakh S, Andrews MC, Woods K, Pasam A, Tutuka C, et al. Autoantibodies may predict immune-related toxicity: results from a phase I study of intravesical bacillus calmette-guerin followed by ipilimumab in patients with advanced metastatic melanoma. *Front Immunol* 2018;9:411.
42. Gowen MF, Giles KM, Simpson D, Tchack J, Zhou H, Moran U, et al. Baseline antibody profiles predict toxicity in melanoma patients treated with immune checkpoint inhibitors. *J Transl Med* 2018;16:82.
43. Das R, Bar N, Ferreira M, Newman AM, Zhang L, Bailur JK, et al. Early B cell changes predict autoimmunity following combination immune checkpoint blockade. *J Clin Invest* 2018;128:715–20.
44. Subudhi SK, Aparicio A, Gao J, Zurita AJ, Araujo JC, Logothetis CJ, et al. Clonal expansion of CD8 T cells in the systemic circulation precedes development of ipilimumab-induced toxicities. *Proc Natl Acad Sci USA* 2016;113:11919–24.
45. Heaney AP, Sumerel B, Rajalingam R, Bergsneider M, Yong WH, Liao LM. HLA Markers DQ8 and DR53 are associated with lymphocytic hypophysitis and may aid in differential diagnosis. *J Clin Endocrinol Metab* 2015;100:4092–7.
46. Tarhini AA, Zahoor H, Lin Y, Malhotra U, Sander C, Butterfield LH, et al. Baseline circulating IL-17 predicts toxicity while TGF-beta1 and IL-10 are prognostic of relapse in ipilimumab neoadjuvant therapy of melanoma. *J Immunother Cancer* 2015;3:39.
47. Gopalakrishnan V, Spencer CN, Nezi L, Reuben A, Andrews MC, Karpinetz TV, et al. Gut microbiome modulates response to anti-PD-1 immunotherapy in melanoma patients. *Science* 2018;359:97–103.
48. Matson V, Fessler J, Bao R, Chongsuwan T, Zha Y, Alegre ML, et al. The commensal microbiome is associated with anti-PD-1 efficacy in metastatic melanoma patients. *Science* 2018;359:104–8.
49. Chaput N, Lepage P, Coutzac C, Soularue E, Le Roux K, Monot C, et al. Baseline gut microbiota predicts clinical response and colitis in metastatic melanoma patients treated with ipilimumab. *Ann Oncol* 2017;28: 1368–79.
50. Routy B, Le Chatelier E, Derosa L, Duong CPM, Alou MT, Daillere R, et al. Gut microbiome influences efficacy of PD-1-based immunotherapy against epithelial tumors. *Science* 2018;359:91–7.

51. Vetizou M, Pitt JM, Daillere R, Lepage P, Waldschmitt N, Flament C, et al. Anticancer immunotherapy by CTLA-4 blockade relies on the gut microbiota. *Science* 2015;350:1079–84.
52. Dubin K, Callahan MK, Ren B, Khanin R, Viale A, Ling L, et al. Intestinal microbiome analyses identify melanoma patients at risk for checkpoint-blockade-induced colitis. *Nat Commun* 2016;7:10391.
53. Derosa L, Hellmann MD, Spaziano M, Halpenny D, Fidelle M, Rizvi H, et al. Negative association of antibiotics on clinical activity of immune checkpoint inhibitors in patients with advanced renal cell and non-small-cell lung cancer. *Ann Oncol* 2018;29:1437–44.
54. Haratani K, Hayashi H, Chiba Y, Kudo K, Yonesaka K, Kato R, et al. Association of immune-related adverse events with nivolumab efficacy in non-small-cell lung cancer. *JAMA Oncol* 2018;4:374–8.
55. Teulings HE, Limpens J, Jansen SN, Zwinderman AH, Reitsma JB, Spuls PI, et al. Vitiligo-like depigmentation in patients with stage III-IV melanoma receiving immunotherapy and its association with survival: a systematic review and meta-analysis. *J Clin Oncol* 2015;33:773–81.
56. Faje AT, Lawrence D, Flaherty K, Freedman C, Fadden R, Rubin K, et al. High-dose glucocorticoids for the treatment of ipilimumab-induced hypophysitis is associated with reduced survival in patients with melanoma. *Cancer* 2018;124:3706–14.
57. Wang Y, Abu-Sbeih H, Mao E, Ali N, Ali FS, Qiao W, et al. Immune-checkpoint inhibitor-induced diarrhea and colitis in patients with advanced malignancies: retrospective review at MD Anderson. *J Immunother Cancer* 2018;6:37.
58. Friedman CF, Proverbs-Singh TA, Postow MA. Treatment of the immune-related adverse effects of immune checkpoint inhibitors: a review. *JAMA Oncol* 2016;2:1346–53.
59. Arbour KC, Mezquita L, Long N, Rizvi H, Auclin E, Ni A, et al. Deleterious effect of baseline steroids on efficacy of PD-(L)1 blockade in patients with NSCLC. *J Clin Oncol* 2018;36: (suppl; abstr 9003, 2018 ASCO Annual Meeting).
60. Arriola E, Wheeler M, Karydis I, Thomas G, Ottensmeier C. Infliximab for ipilimumab-related colitis. *Clin Cancer Res* 2015;21:5642–3.
61. Bergqvist V, Hertervig E, Gedeon P, Kopljak M, Griph H, Kinhult S, et al. Vedolizumab treatment for immune checkpoint inhibitor-induced enterocolitis. *Cancer Immunol Immunother* 2017;66:581–92.
62. Neelapu SS, Tummala S, Kebriaei P, Wierda W, Gutierrez C, Locke FL, et al. Chimeric antigen receptor T-cell therapy - assessment and management of toxicities. *Nat Rev Clin Oncol* 2018;15:47–62.
63. Uemura M, Trinh VA, Haymaker C, Jackson N, Kim DW, Allison JP, et al. Selective inhibition of autoimmune exacerbation while preserving the anti-tumor clinical benefit using IL-6 blockade in a patient with advanced melanoma and Crohn's disease: a case report. *J Hematol Oncol* 2016;9:81.
64. Kim ST, Tayar J, Trinh VA, Suarez-Almazor M, Garcia S, Hwu P, et al. Successful treatment of arthritis induced by checkpoint inhibitors with tocilizumab: a case series. *Ann Rheum Dis* 2017;76:2061–4.
65. Naqash AR, Yang LV, Sanderlin EJ, Atwell DC, Walker PR. Interleukin-6 as one of the potential mediators of immune-related adverse events in non-small cell lung cancer patients treated with immune checkpoint blockade: evidence from a case report. *Acta Oncol* 2018;57:705–8.
66. Bialkowski L, Van der Jeught K, Bevers S, Tjok Joe P, Renmans D, Heirman C, et al. Immune checkpoint blockade combined with IL-6 and TGF-beta inhibition improves the therapeutic outcome of mRNA-based immunotherapy. *Int J Cancer* 2018;143:686–98.
67. Giavridis T, van der Stegen SJC, Eyquem J, Hamieh M, Piersigilli A, Sadelain M. CAR T cell-induced cytokine release syndrome is mediated by macrophages and abated by IL-1 blockade. *Nat Med* 2018;24:731–8.
68. Norelli M, Camisa B, Barbiera G, Falcone L, Purevdorj A, Genua M, et al. Monocyte-derived IL-1 and IL-6 are differentially required for cytokine-release syndrome and neurotoxicity due to CAR T cells. *Nat Med* 2018;24:739–48.
69. Arce Vargas F, Furness AJS, Solomon I, Joshi K, Mekkaoui L, Lesko MH, et al. Fc-optimized anti-CD25 depletes tumor-infiltrating regulatory T cells and synergizes with PD-1 blockade to eradicate established tumors. *Immunity* 2017;46:577–86.
70. Wang D, Quiros J, Mahuron K, Pai CC, Ranzani V, Young A, et al. Targeting EZH2 reprograms intratumoral regulatory T cells to enhance cancer immunity. *Cell Rep* 2018;23:3262–74.
71. Overacre-Delgoffe AE, Chikina M, Dadey RE, Yano H, Brunazzi EA, Shayan G, et al. Interferon-gamma drives Treg fragility to promote anti-tumor immunity. *Cell* 2017;169:1130–41e11.
72. Dahlen E, Veitonmaki N, Norlen P. Bispecific antibodies in cancer immunotherapy. *Ther Adv Vaccines Immunother* 2018;6:3–17.
73. Sockolosky JT, Trotta E, Parisi G, Picton L, Su LL, Le AC, et al. Selective targeting of engineered T cells using orthogonal IL-2 cytokine-receptor complexes. *Science* 2018;359:1037–42.
74. Shahabi V, Berman D, Chasalow SD, Wang L, Tsuchihashi Z, Hu B, et al. Gene expression profiling of whole blood in ipilimumab-treated patients for identification of potential biomarkers of immune-related gastrointestinal adverse events. *J Transl Med* 2013;11:75.
75. Naidoo J, Wang X, Woo KM, Iyriboz T, Halpenny D, Cunningham J, et al. Pneumonitis in patients treated with anti-programmed death-1/programmed death ligand 1 therapy. *J Clin Oncol* 2017;35:709–17.

# Cancer Immunology Research

## The Balancing Act between Cancer Immunity and Autoimmunity in Response to Immunotherapy

Arabella Young, Zoe Quandt and Jeffrey A. Bluestone

*Cancer Immunol Res* 2018;6:1445-1452.

**Updated version** Access the most recent version of this article at:  
<http://cancerimmunolres.aacrjournals.org/content/6/12/1445>

**Cited articles** This article cites 72 articles, 27 of which you can access for free at:  
<http://cancerimmunolres.aacrjournals.org/content/6/12/1445.full#ref-list-1>

**Citing articles** This article has been cited by 11 HighWire-hosted articles. Access the articles at:  
<http://cancerimmunolres.aacrjournals.org/content/6/12/1445.full#related-urls>

**E-mail alerts** [Sign up to receive free email-alerts](#) related to this article or journal.

**Reprints and Subscriptions** To order reprints of this article or to subscribe to the journal, contact the AACR Publications Department at [pubs@aacr.org](mailto:pubs@aacr.org).

**Permissions** To request permission to re-use all or part of this article, use this link <http://cancerimmunolres.aacrjournals.org/content/6/12/1445>. Click on "Request Permissions" which will take you to the Copyright Clearance Center's (CCC) Rightslink site.